

Export LC Cancellation User Guide

Oracle Banking Trade Finance Process Management

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Oracle Banking Trade Finance Process Management - Export LC Cancellation User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export LC Cancellation

Export LC Cancellation process enables the user to cancel the LC which had been already advised.

The cancellation require consent from the beneficiary of the LC. In such scenarios, after the processing of cancellation, the cancelled LC is parked awaiting beneficiary consent.

In the following sections, let's look at the details for export LC cancellation process.

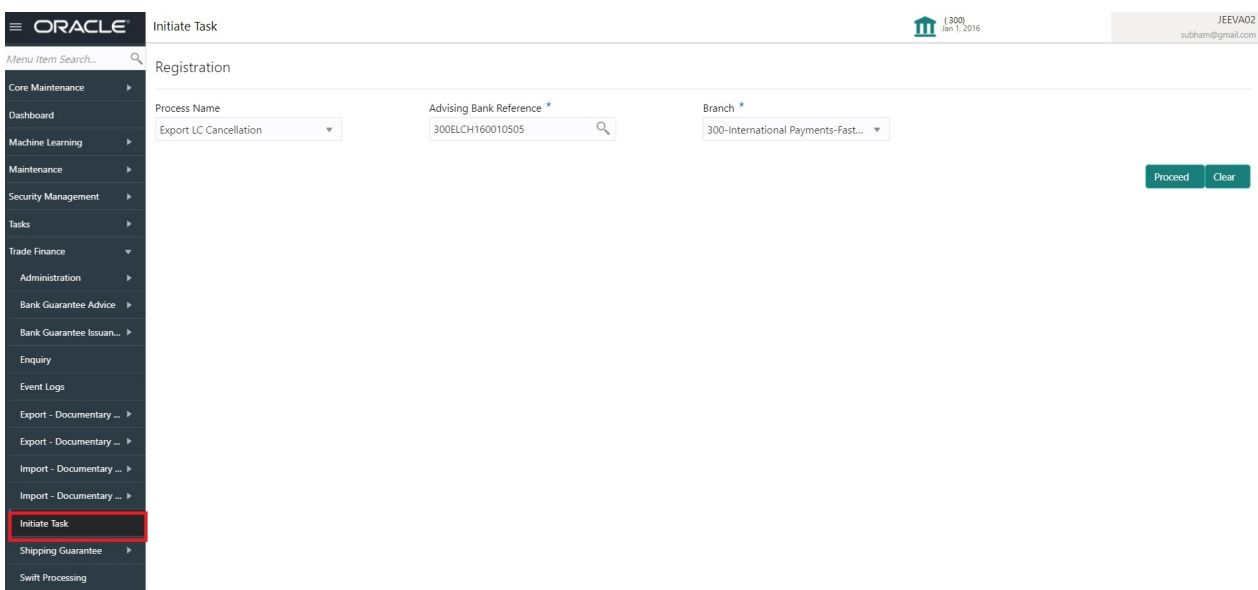
This section contains the following topics:

Common Initiation Stage	Registration
Document Linkage	Exceptions
Multi Level Approval	Reject Approval

Common Initiation Stage

The user can initiate the new export LC cancellation request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Advising Bank Reference	Select the advising bank reference.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

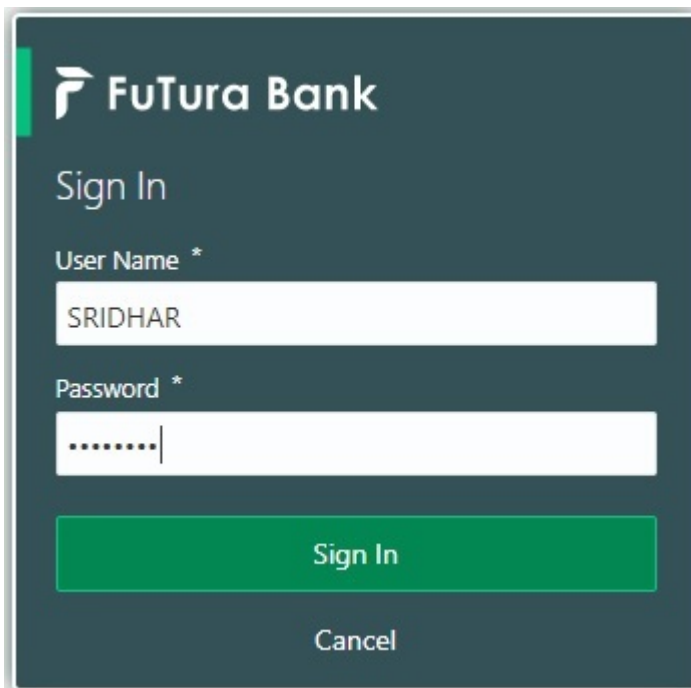
Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

If the Letter Of Credit cancellation request is submitted through branch, the Export LC Cancellation process starts from the Registration Stage.

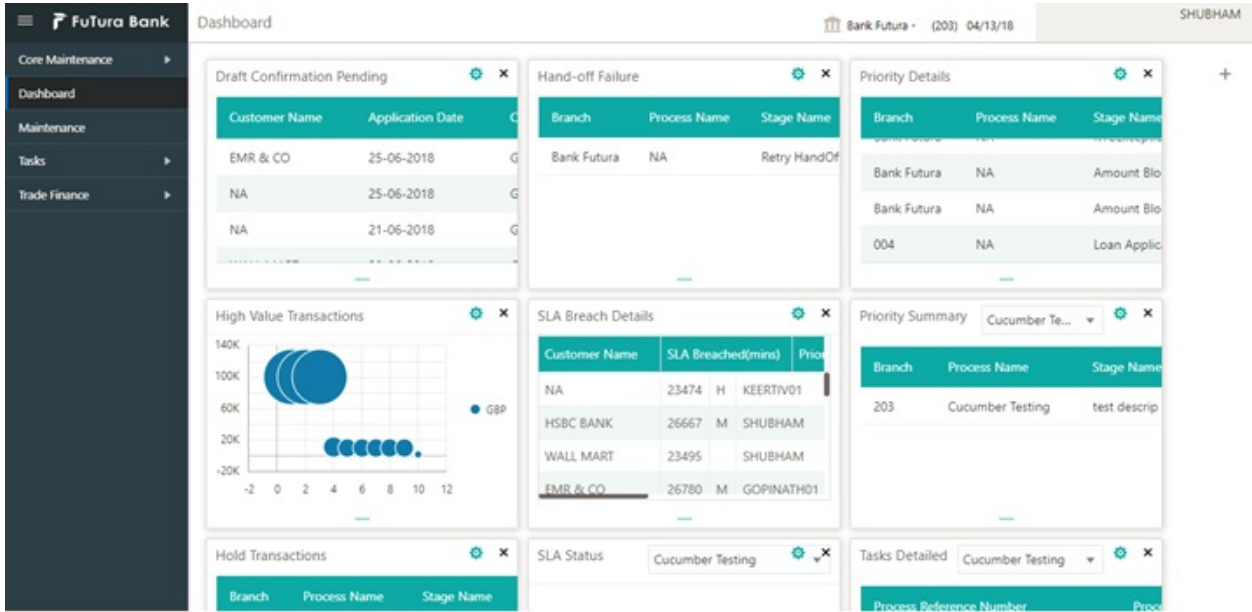
During registration stage, user can capture the basic details of the application and upload the related documents of the applicant. On submit of the request, the request will be available for an LC cancellation expert to handle the request in the next stage.

3. Using the entitled login credentials for registration stage, login to the OBTFPM application.

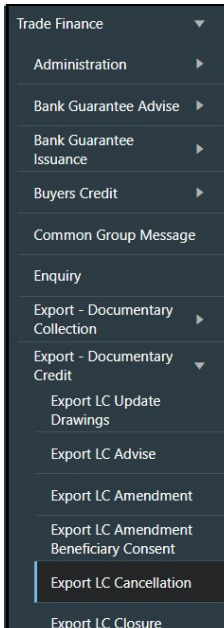


The screenshot shows a dark-themed login interface for Futura Bank. At the top left is the Futura Bank logo. Below it, the text 'Sign In' is displayed. There are two input fields: 'User Name *' containing the text 'SRIDHAR' and 'Password *' containing masked characters. Below these fields are two buttons: a green 'Sign In' button and a white 'Cancel' button.

4. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



5. Click Trade Finance> Export - Documentary Credit> Export LC Cancellation.



The registration stage has two sections Application Details and LC Details. Let's look at the details of registration screens below:

Application Details

Export LC Cancellation
Documents Remarks Customer Instruction

Application Details

Advising Bank Reference 032ELCT23215B2YV	Beneficiary ID 032204	Beneficiary Air Arabia	Branch 032-Oracle Banking Trade Finan...
Process Reference Number 032ELCC00175322	Priority Medium	Submission Mode Desk	Cancellation Date Aug 3, 2023
52A Issuing Bank 032302 Abu Dhabi Islam	50B Non Bank Issuer	User Reference Number 032ELCT23215B2YV	20 - Documentary Credit Number 1000000000

LC Details

LC Type Sight	Product Code ELCT	Product Description Export LC for Transfer	Advising Bank 032309 RAKBANK
40A - Form Of Documentary Credit IRREVOCABLE TRANSFERRABLE	Contract Reference Number 032ELCT23215B2YV	31C - Date Of Issue Aug 3, 2023	40E - Applicable Rules UCP LATEST VERSION
31D - Date Of Expiry Dec 28, 2023	31D - Place Of Expiry LONDON	51A - Applicant Bank 032303 Dubai Bank	Applicant 032205 Aldar Properties
32B - Currency Code, Amount AED AED 100.00	Amount In Local Currency AED AED 100.00	39A - Percentage Credit Amount Tolerance /	39C- Additional Amounts Covered

LC Outstanding Amount: 50

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Advising Bank Reference Number	Provide the advising bank reference number. Alternatively, user can search the advising bank reference number using LOV. In the LOV, user can input Customer ID, Applicant, Currency, Amount and User Reference to fetch the Export LC details. Based on the search result, select the applicable Export LC to be canceled.	
Beneficiary ID	Read only field. Beneficiary ID will be auto-populated based on the selected LC from the LOV.	001344
Beneficiary	Read only field. Beneficiary Name will be auto-populated based on the selected LC from the LOV.	EMR & CO
Branch	Read only field. Branch details will be auto-populated based on the selected LC from the LOV.	203-Bank Futura -Branch FZ1
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	System will default the Priority as Low/Medium/ High based on maintenance.	High

Field	Description	Sample Values
Submission Mode	Select the submission mode of Export LC Cancellation request. By default the submission mode will have the value as 'Desk'. User can change the defaulted priority. Desk - Request received through Desk Courier - Request received through Courier	Desk
Cancellation Date	By default, the application will display branch's current date.	04/13/2018
Issuing Bank	Read only field. Issuing Bank details will be auto-populated based on the selected LC from the LOV.	
Non Bank Issuer	Read only field. Non Bank Issuer details will be auto-populated based on the selected LC from the LOV.	
User Reference Number	Read only field. User Reference Number will be auto populated by the system based on the selected Export LC.	
Documentary Credit Number	Read only field. Documentary Credit Number will be auto populated by the system based on the selected Export LC.	
Beneficiary Consent Required	By default, the toggle must be 'On' for Export LC Cancellation process.	

LC Details

LC Details

LC Type Sight	Product Code ELCT	Product Description Export LC for Transfer	Advising Bank 032309 RAKBANK
40A - Form Of Documentary Credit IRREVOCABLE TRANSFERRABLE	Contract Reference Number 032ELCT2321582YV	31C - Date Of Issue Aug 3, 2023	40E - Applicable Rules UCP LATEST VERSION
31D - Date Of Expiry Dec 28, 2023	31D - Place Of Expiry LONDON	51A - Applicant Bank 032303 Dubai Bank	Applicant 032205 Aldar Properties
32B - Currency Code, Amount AED AED 100.00	Amount In Local Currency AED AED 100.00	39A - Percentage Credit Amount Tolerance /	39C - Additional Amounts Covered

LC Outstanding Amount
50

Hold Cancel Save & Close Submit

This screen displays the data from the LC advised.

Field	Description	Sample Values
LC Type	Read only field. LC type will be populated based on selected LC.	

Field	Description	Sample Values
Product Code	Read only field. This field displays the product code of the selected LC.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Advising Bank	This field displays the advising bank details of the selected LC.	
40A - Form of Documentary Credit	Read only field. This field displays the form of documentary credit details of the selected LC.	
Contract Reference Number	Read only field. This field displays the form of contract reference number of the selected LC.	
Date of Issue	Read only field. This field displays the LC issuance date.	
Applicable Rules	Read only field. This field displays the rules of the selected Export LC.	
Date Of Expiry	Read only field. This field displays the expiry date of the selected LC.	
Place of Expiry	Read only field. This field displays the place of expiry of the selected LC.	
Applicant Bank	Read only field. This field displays the applicant bank details of the selected LC.	
Applicant	Read only field. This field displays the details of the applicant of the selected LC.	
Currency Code, Amount	Read only field. This field displays the details of the currency/ amount of the selected LC.	
Amount In Local Currency	Read only field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	

Field	Description	Sample Values
Percentage Credit Amount Tolerance	Read only field. This field displays the details of the percentage credit amount tolerance of the selected LC.	
Additional Amount Covered	Read only field. This field displays the details of the additional amount covered of the selected LC.	
LC Outstanding Amount	Read only field. This field displays the details of the LC outstanding amount of the selected LC.	

Miscellaneous

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the cancellation request. This information can be viewed by other users processing the request.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	

Field	Description	Sample Values
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	
Action Buttons		
Submit	On submit, task will get moved to next logical stage of Export LC Cancellation. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and displays the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Export LC Cancellation Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	

Document Linkage

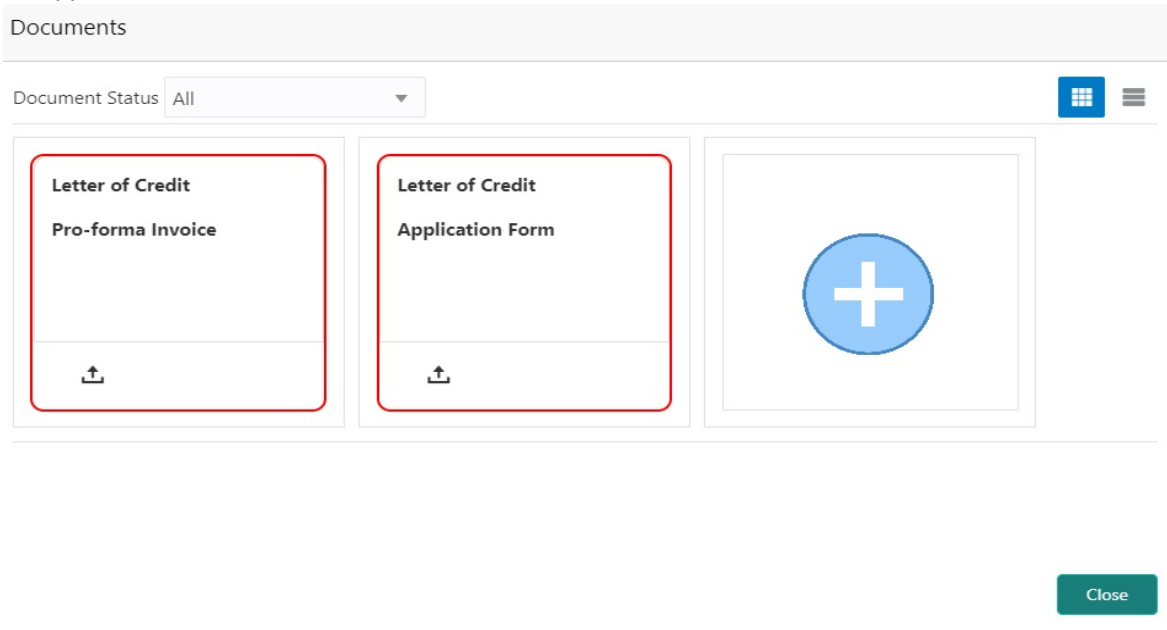
The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	

Field	Description	Sample Values
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	

Field	Description	Sample Values
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.

Link Document

Customer Id *
032204

Document Type *
Documentary Collection

Document Id

Document Code *
Insurance Policy

Fetch

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
Link	1559	032204	HGJH	INSURANCE	Mar 9, 2023	032IDCB000017631
Link	2649	032204	testing	INSURANCE	Mar 29, 2023	032ILCC000021179
Link	4143	032204		INSURANCE	May 8, 2023	032ILCU000032029
Link	4145	032204		INSURANCE	May 8, 2023	032ILCU000032042
Link	4305	032204		INSURANCE	May 10, 2023	032IDCB000033105

Page 1 of 2 (1-5 of 7 items) < 1 2 >

Close

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Documents

Edit Document

Document Id
2400

Application Reference Number
PK2ILCI000019041

Document Type Id
TFPM_DOCTYPE001

Remarks

Document Title
wqwq

Entity Reference Number
PK2ILCI000019041

Document Description

Document Expiry Date
Jun 29, 2022

Drop files here or click to select

Current selected files: []

Update
Cancel

Data Enrichment

As part of Data Enrichment, user can enter/update basic details of the incoming request.



Note

If the incoming message is MT 707, requests that are received via online channels like trade portal, external system and SWIFT should be available directly for further processing in OBTFPM from Scrutiny stage.

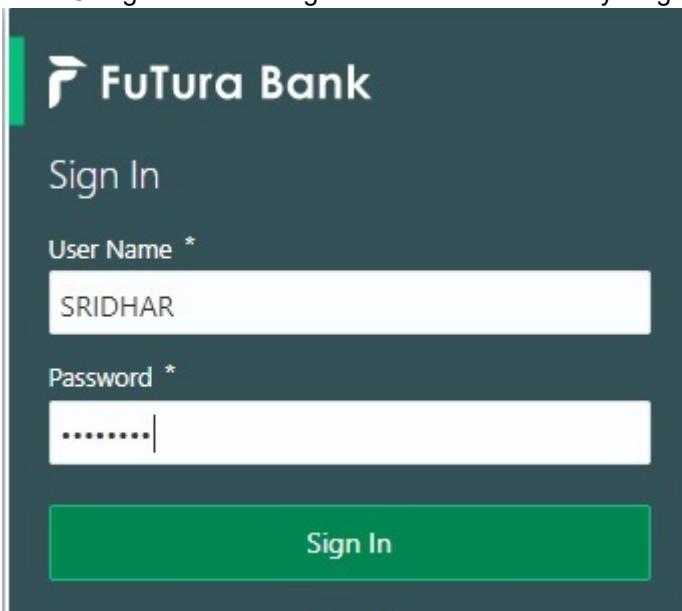


Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task at Data enrichment stage:

Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

SRIDHAR

Password *

.....

Sign In

8. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard displays several widgets for user monitoring and management:

- Draft Confirmation Pending:** Table with columns: Customer Name, Application Date, Status.
- Hand-off Failure:** Table with columns: Branch, Process Name, Stage Name.
- Priority Details:** Table with columns: Branch, Process Name, Stage Name.
- High Value Transactions:** A bubble chart showing transaction values for GBP.
- SLA Breach Details:** Table with columns: Customer Name, SLA Breached(mins), Priority.
- Priority Summary:** Table with columns: Branch, Process Name, Stage Name.
- Hold Transactions:** Table with columns: Branch, Process Name, Stage Name.
- SLA Status:** A dropdown menu currently set to 'Cucumber Testing'.
- Tasks Detailed:** Table with columns: Branch, Process Name, Stage Name.

9. Click Trade Finance> Tasks> Free Tasks.

The Free Tasks page shows a list of tasks with the following data:

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCC000006493	GS1	000262	£15,000.00	Export LC Cancellation	Data Enrichment	NA
Acquire & Edit	M	GS1ELCA000006522	GS1	000263	£2,500.00	Export LC Advising	Scrutiny	NA
Acquire & Edit	H	GS1ELCA000006503	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032B0KN
Acquire & Edit	M	GS1ILCA000006502	GS1	000262	£1,000.00	Import LC Amendment-Ben...	Beneficiary Response Capture	NA
Acquire & Edit	M	GS1LCL000006490	GS1	000262	£2.00	Import LC Liquidation	Retry HandOff	NA
Acquire & Edit	H	GS1ELCA000006480	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032B06P

10. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

The Oracle Free Tasks page shows a list of tasks with the following data:

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & Edit	Medium	Export LC Cancellation	PK2ELCC000003646	PK2ELCC000003646	DataEnrichment	21-09-09	PK2	001044
Acquire & Edit	Medium	Import LC Issuance	PK1ILCI000003643	PK1ILCI000003642	Scrutiny	21-09-09	PK2	000326
Acquire & Edit	Medium	Import LC Issuance	PK1ILCI000003639	PK1ILCI000003639	Scrutiny	21-09-09	PK2	000326
Acquire & Edit	Medium	Export LC Transfer	PK2ELCT000003641	PK2ELCT000003641	Scrutiny	21-09-09	PK2	001044
Acquire & Edit	Medium	Import LC Issuance	PK1ILCI000003635	PK1ILCI000003635	Scrutiny	21-09-09	PK2	000326
Acquire & Edit	Medium	Import LC Issuance	PK1ILCI000003633	PK1ILCI000003633	Scrutiny	21-09-09	PK2	000326
Acquire & Edit	Medium	Import Documentary C...	PK2IDCL000003628	PK2IDCL000003628	DataEnrichment	21-09-08	PK2	000153
Acquire & Edit	Medium	Import Documentary C...	PK2IDCL000003614	PK2IDCL000003614	DataEnrichment	21-09-08	PK2	001044
Acquire & Edit	Medium	Import Documentary C...	PK2IDCL000003607	PK2IDCL000003607	DataEnrichment	21-09-08	PK2	001044
Acquire & Edit	Medium	Guarantee Issuance Ame...	PK2GTEI000002569	PK2GTEI000002569	Approval Task Level 1	21-08-28	PK2	001044
Acquire & Edit	Medium	Import LC Issuance	PK2PERF000009997	PK2PERF000009997	Registration	21-07-29	PK2	001043
Acquire & Edit	Medium	Import LC Issuance	PK2PERF000009997	PK2PERF000009997	Registration	21-07-29	PK2	001043

11. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Edit	M	GS1ELCC000006493	GS1	000262	£15,000.00	Export LC Cancellation	Data Enrichment	NA

The Data Enrichment stage has six sections as follows:

- Main Details
- ~~Availability & Shipment~~
- ~~Documents & Conditions~~
- Payment Details
- ~~Details~~
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- LC Details

Application Details

All fields displayed under Application details section, would be read only except for the **Priority** and **Beneficiary Consent Reqd.** Refer to [Application Details](#) for more information of the fields.

LC Details

The fields listed under this section are same as the fields listed under the [LC Details](#) section in [Registration](#). Refer to [LC Details](#) for more information of the fields. During registration, if user has not captured input, then user can capture the details in this section.

Following are the fields which can be amended apart from the fields carried over from [LC Details](#) of [Registration](#). Provide the details for the amendable fields based on the description in the following table:

Field	Description	Sample Values
Applicable Rules	This field displays the rules of the selected Export LC and user can amend if required.	
Date of Expiry	This field displays the expiry date of the selected Export LC and user can amend if required.	

Field	Description	Sample Values
Place of Expiry	This field displays the place of expiry of the selected Export LC and user can amend if required.	
Currency/Amount	This field displays the value of LC along with the currency details of the selected Export LC.	
Percentage Credit Amount Tolerance	This field displays the percentage credit amount tolerance details of the selected Export LC.	
Additional Amounts Covered	This field displays the details of additional amount covered of the selected LC and user can amend if required.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for cancellation. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Payment Details




The screenshot shows the Oracle Payment Details form for an Export LC Cancellation. The form is organized into several sections, each with a title and a search icon. The sections include:


- Special Payment conditions for beneficiary:** 49G-Special Payment conditions for beneficiary.
- Special Payment conditions for receiving bank:** 49H-Special Payment conditions for receiving bank.
- Period for Presentation:** 48-Period for Presentation (10 DAYS).
- Confirmation Instructions:** 49-Confirmation Instructions (CONFIRM).
- Partial Confirmation Allowed:** A toggle switch.
- Silent Confirmation:** A toggle switch.
- Information to Issuing Bank:** 72-Sender to Receiver Information, 71 D Charges, 792 Narrative, Issuing Bank Date, Issuing Bank Account No, 57a - Account with Bank.
- Information to Advise Through Bank:** 72-Sender to Receiver Information.

At the bottom of the form, there are buttons for Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Special Payment conditions for beneficiary	<u>Read-only field.</u> Online and Non Online Channels — If any <u>Displays</u> the special payment condition has to be provided to beneficiary, the details for the same must be captured in this field.	
Special Payment conditions for receiving bank	<u>Read-only field.</u> Online and Non-online channels — If any special payment condition has to be provided to receiving bank, the details for the same must be captured in this field. This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary.	
Period for Presentation	Online Channel — Read-only. <u>Read-only field.</u> Non Online channel — If <u>Displays</u> the period of presentation.	
Confirmation Instructions	Read-only field. Displays the confirmation instruction for the LC.	
Partial Confirmation Allowed	Read-only field. If toggle on, Indicates if LC has partial confirmation,	
Silent Confirmation	Read-only field. Indicates whether silent confirmation is added to an LC.	

Field	Description	Sample Values
Confirmation%	Read-only field. Displays the confirmation percentage.	
Confirmation Amount	<p><u>Read-only field.</u> Provide <u>Displays</u> the confirmation percentage <u>amount</u>.</p> <p> Note This field is applicable only if Confirmation Instructions is set to Confirm and Partial Confirmation Toggle is 'On'.</p>	
Requested Confirmation Party Type	<p><u>Read-only field.</u> <u>Displays the requested confirmation party type.</u> Select the requested confirmation party from LOV. Online and Non-Online Channels — Provide requested confirmation party details.</p> <p> Note This field is applicable only for LC Type Confirmed LC.</p>	
Requested Confirmation Party	<p>Select <u>Read-only field.</u> <u>Displays</u> the requested confirmation party <u>details</u> <u>from LOV.</u> Online and Non-Online Channels — Provide requested confirmation party details.</p> <p> Note This field is applicable only for LC Type Confirmed LC.</p>	
<u>Confirmation Expiry Date</u>	<p><u>Read-only field.</u> <u>Displays the confirmation expiry date.</u></p>	

Field	Description	Sample Values
Reimbursing Bank	<p>If reimbursing bank is applicable user must update the field<u>Read-only field.</u></p> <p><u>Displays the reimbursing bank details.</u></p> <p>.</p> <p>Online Channel—Update the details received.</p> <p>Non online channel—Search through LOV. Party type with banks will be displayed in LOV.</p> <ul style="list-style-type: none"> • SWIFT code (if available); • Name and address of the bank <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	
Advise Through Bank	<p><u>Read-only field.</u></p> <p><u>Displays advise through bank details.</u></p> <p>Online Channel—User can update the details received.</p> <p>Non Online Channel—</p> <p>Search through LOV. Party type with banks must be displayed in LOV.</p> <ul style="list-style-type: none"> • SWIFT code (if available) • Name and address of the bank <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <div style="text-align: center;">  <p>Note</p> <p>In case the selected Bank is not RMA-Compliant, the system displays error message “RMA arrangement not available”.</p> </div> <p>If Advise through Bank available, SWIFT MT707 message will be sent to Advise Through Bank.</p>	
Instructions to P/A/N Bank	<p><u>Read-only field.</u></p> <p><u>Displays the instructions to P/A/N bank.</u></p> <p>Online Channel—User can update details received.</p> <p>Non online channel—Provide the details in this field.</p>	

Field	Description	Sample Values
Sender to Receiver Information	<u>Read-only field.</u> Online Channel—User can update <u>Displays the sender to receiver information</u> details received. Non-Online Channel—Provide details (FFT).	
Charges	Online Channel—User can update <u>Read-only field.</u> <u>Displays the charge</u> details-(FFT)received. Non-Online Channel—Provide details (FFT).	
To be confirmed by Advising Bank	Toggle On: Set the toggle-on to confirm by advising bank. Toggle Off: Set the toggle-off for not to be confirmed by advising bank.	
Amendment charges payable by	Read-only field <u>Select the party to pay the amendment charges.</u> <u>The options are:</u> <ul style="list-style-type: none"> • <u>Applicant</u> • <u>Beneficiary</u> • <u>Others</u> 	

Information to Issuing Bank

Provide MT730 - Information to Issuing Bank details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver Information	Select a FFT to provide the additional information to receiver.	
Narrative	Select a FFT to provide the additional information from the advising bank to the issuing bank.	
Issuing Bank Account No	Select the issuing bank account number from the LOV.	
Charges to be Claimed	Select the FFT <u>currency and specify the amount from the LOV</u> for the charges to be claimed.	
Charges	Provide the charge details for advising.	
Issuing Bank Date	Select the issuing bank date.	
Account with Bank	Select the account to which the charges needs to be paid.	

Information to Advise Through Bank

Provide Information to Advise Through Bank details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver Information	Select a FFT to provide <u>Read-only field.</u> <u>Displays</u> the additional information to receiver.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Overrides	Click to view the overrides accepted by the user.	
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel Scrutiny stage inputs and return to dashboard.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Additional Fields

Banks can configure these additional fields during implementation.

Export LC Cancellation - DataEnrichment :: Application No: PK2ELCC000062800 View LC

- 1 Main Details
- 2 Payment Details
- 3 Additional Fields
- 4 Advices
- 5 Additional Details
- 6 Settlement Details
- 7 Summary

Screen (3 / 7)

Additional Fields

◀ Additional Fields

No Additional fields configured!

Audit

Reject
Refer
Hold
Cancel
Save & Close
Back
Next

Additional Details

Export LC Cancellation
DataEnrichment :: Application No:- PK2ELCC00073653

Documents Remarks Overrides Customer Instruction View LC

Main Details
Payment Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Additional Details

Preview Messages	Commission,Charges and...	Limits and Collaterals
Language : Preview Advice : -	Charge : Commission : Tax : Block Status :	Limit Currency : Limit Contribution : Limit Status : Collateral Currency : Collateral Contribution : Collateral Status :

Audit Reject Refer Hold Cancel Save & Close Back Next

Screen (5 / 7)

Limits & Collateral

Limit availability needs to be checked if involves increase in amount or tolerance or both. This is applicable for confirmation of the unconfirmed LC or increasing the amount or tolerance if already LC is already confirmed.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Limits and Collaterals

Limit Details

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Amount to Earmark	Limit Check Response	Response Message	View
No data to display.										

Cash Collateral Details

Collateral Percentage *
Collateral Currency and amount
Exchange Rate

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response
No data to display.							

Deposit Linkage Details

Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	View
No data to display.						

Page 1 (0 of 0 items) < 1 >

Save & Close Cancel

Limit Details
✕

<p>Customer Id 001044 <input type="text"/></p> <p>Contribution % * 1.0 <input type="text"/></p> <p>Contribution Currency GBP <input type="text"/></p> <p>Limit/Liability Currency GBP <input type="text"/></p> <p>Limit Check Response Available <input type="text"/></p> <p>Expiry Date <input type="text"/></p> <p>Response Message The Earmark can be performed as the f <input type="text"/></p>	<p>Linkage Type * Facility <input type="text"/></p> <p>Liability Number * PK2LIAB01 <input type="text"/></p> <p>Line Id/Linkage Ref No * PK2L01SL1 <input type="text"/></p> <p>Limits Description <input type="text"/></p> <p>Amount to Earmark * <input type="text" value="£220.00"/></p> <p>Limit Available Amount <input type="text" value="£999,999,903.89"/></p> <p>ELCM Reference Number <input type="text"/></p>
--	---

Verify
Save & Close
Close

Collateral Details
✕

<p>Total Collateral Amount * <input type="text"/></p> <p>Sequence Number 1.0 <input type="text"/></p> <p>Collateral Contribution Amount * <input type="text" value="AED 1,500.00"/></p> <p>Settlement Account Currency <input type="text"/></p> <p>Contribution Amount in Account Currency <input type="text"/></p> <p>Response VN <input type="text"/></p>	<p>Collateral Amount to be Collected * <input type="text"/></p> <p>Collateral Split % * 16.6667 <input type="text"/></p> <p>Settlement Account * <input type="text"/></p> <p>Exchange Rate <input type="text"/></p> <p>Account Available Amount <input type="text"/></p> <p>Response Message <input type="text"/></p>
---	---

✕ Cancel

Deposit Linkage Details

In this section which the deposit linkage details should be captured. System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE

stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details
✕

Deposit Account

Deposit Available Amount

Exchange Rate

Linkage Percentage % *

Deposit Branch

Deposit Maturity Date

Deposit Available In Transaction Currency

Linkage Amount(Transaction Currency) *

Save & Close
Close

Detail of Limit, Collateral and deposits linked to the LC which is displayed in this section.

All the field values are display only and user can not modify the values.

On successful hand off of cancellation, limit, colletaral and deposit will be released from the back office.

Charge Details

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission,Charges and Taxes
✕

Recalculate
Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1 (0 of 0 items) < 1 >

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										

Page 1 (0 of 0 items) < 1 >

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close
Cancel

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	<p>Select the check box to waive charges/ commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Charge Party	Charge party will be 'Beneficiary' by Default. You can change the value to Applicant	
Settlement Account	Details of the Settlement Account.	

Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Tag amount that is maintained under the product code.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be beneficiary by default. You can change the value to applicant.	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are defaulted from the back-end system.

Field	Description	Sample Values
Component	Tax Component type	
Type	This field displays the type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Settlement Details

Export LC Cancellation - DataEnrichment :: Application No: PK2ELCC000003646

View LC

- Main Details
- Payment Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details**
- Summary

Settlement Details

Current Event

Screen (6 / 7)

Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event	Original Exchange Rate	Exchange Rate	Deal Reference N
AMT_PURCHASED	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	No			
AMT_PURCHASEDEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
BCCOUR_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
BILL_AMND_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
BILL_LIQ_AMT	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	No			
BILL_LIQ_AMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No			
BILL_OS_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
CHG1_LIQD	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No			
CHG1_LIQD_AMTEQ	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	No			
COLL_LIQ_AMT	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	No			

Audit

Reject Refer Hold Cancel Save & Close Back Next

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System defaults the applicable netting indicator.	
Current Event	System defaults the current event as Y or N.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	

Summary

User can review the summary of details updated in Data Enrichment stage Export LC Cancellation request.

Oracle My Tasks (DEFAULTENTITY) (PK2) May 6, 2019 SRIDHAR02 subhram@gmail.com

Export LC Cancellation - DataEnrichment : Application No: PK2ELCC000058323

Screen (7 / 7)

Main Details	Payment Details	Additional Fields	Advices
Form of LC : IRREVOCABLE	Period of Present. : Confirmation Instr. : WITHOUT	Click here to view Additional Fields	Advice 1 : Advice 2 :
Submission Mode : Desk			
Date of Issue : 2019-05-06			
Date of Expiry : 2019-10-12			
Place of Expiry : CHN			
Preview Messages	Limits and Collaterals	Commission, Charges and Taxes	Accounting Details
Language : ENG	Limit Currency : USD	Charge : Not Available	Event :
Preview Message : -	Limit Contribution : 1001	Commission : Not Available	Account Number :
	Limit Status : Not Verified	Tax : Not Available	Branch :
	Collateral Currency : GBP	Block Status : Not Available	
	Collateral Contr. : 80.08		
	Collateral Status : Not Verified		
Settlement Details			
Component : LCEXADV_LIQD			
Account Number : PK20010440			
Currency : GBP			

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view the application details and LC details.
- Party Details - User can view the details like beneficiary, issuing bank etc..
- Additional Fields - User can view the details of additional fields.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Advices - User can view the details of advices.
- Preview - User can view the preview messages.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents. Application displays mandatory documents to be uploaded for cancellation. Place holders are also available to upload additional documents submitted by the applicant.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	

Exceptions

The Export LC Cancellation request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the “Amount Block Reference Number” to the back office. On successful handoff, back office will make use of these “Amount Block

Reference Number” to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Block Exception

This section will display the amount block exception details.

Export LCCancellation - Amount Block Exception

Amount Block Exception

Application :- 2031LCISS000000888

Amount Block Exception Details

Type	Contract Currency	Block Amount	Branch	Account	Account Currency	Block Ref No	Block Status	Block Status Details
No data to display.								

Reject Hold Refer Cancel Approve Back Next

Summary

Export LCCancellation - Amount Block Exception

Application :- 2031LCISS000000888

Main Details

- Form Of LC : **IRREVOCABLE**
- Submission Mode : **Desk**
- Date Of Issue : **2018-07-17**
- Date Of Expiry : **2018-08-17**
- Place Of Expiry : **NEGOTIATING BANK**

Party Details

- Applicant : **XXX**
- Beneficiary : **XXX**
- Advising Bank : **XXX**
- Confirming Bank :

Limits & Collaterals

- Limit Currency : **GBP**
- Limit Contribution : **15000**
- Limit Status : **Earmarked**
- Collateral Currency : **GBP**
- Collateral Contribution : **3000**
- Collateral Status : **Available**

Charge Details

- Charge :
- Commission :
- Tax :
- Block Status : **Not Initiated**

Buttons: Reject, Hold, Refer, Cancel, Approve, Back, Next

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like beneficiary, advising bank etc.
- Limits and Collaterals - User can view limits and collateral details.
- Charge Details - User can view details provided for charges.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the Export LC Cancellation Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Summary

Export LC Cancellation - Approval Task Level 1 :: Application No: PK2ELCC000062789



Main Details	Payment Details	Additional Fields	Advices
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2021-05-05 Date of Expiry : 2021-08-03 Place of Expiry : dfd fdgg	Period of Present. : Confirmation Instr. : MAY ADD	Click here to view : Additional fields	Advice 1 : Advice 2 :
Preview Messages	Limits and Collaterals	Commission,Charges and Taxes	Accounting Details
Language : ENG Preview Message : -	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Event : Account Number : Branch :

Audit

Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view about application details and LC details.

- Party Details - User can view details like beneficiary, issuing bank etc..
- Availability - User can view availability details.
- Payment - User can view payment details.
- Document & Conditions - User can view the details of document and conditions.
- Revolving Details - User can view the revolving details.
- Additional Fields - User can view the details of additional fields.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Preview - User can view the preview messages.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the approval.	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Export LC Cancellation in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Application Details

The application details data segment have values for requests received from both non-online and online channels.

Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Payments - User can view and modify all details related to payments, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	

Field	Description	Sample Values
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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